New Mexico Institute of Mining and Technology
Audit Monitoring Form

Instructions: This Form is to be used by Sponsored Projects Administration (SPA) for Subrecipients with a qualified or adverse Single or Program-Specific audit with material noncompliance reported.

Subrecipient Name	
Active Award Numbers	

Summary of Auditor's Results of Subrecipient	
Material weaknesses in internal control reported?	☐ Yes ☐ No
Reportable conditions identified that are not considered to be material weaknesses?	☐ Yes ☐ No
Type of report issued on compliance for major programs?	☐ Yes ☐ No
Subrecipient qualifies as "low risk"?	☐ Yes ☐ No
Finding directly related to an award from NMIMT?	☐ Yes ☐ No
If Yes: **PI Name:**	**PI Org:**
Project Sponsor:	**Total Award Amount:**
Project Period:	

Award Findings & Review		
Auditor #	**Summary of Finding**	**Management Decision**
		1. Audit findings sustained? ☐ Yes ☐ No 2. Expected action of Subrecipient: ☐ Repay disallowed cost ☐ Make financial adjustments ☐ Other 3. Additional Monitoring? ☐ Yes ☐ No If Yes, Describe:
		1. Audit findings sustained? ☐ Yes ☐ No 2. Expected action of Subrecipient: ☐ Repay disallowed cost ☐ Make financial adjustments ☐ Other 3. Additional Monitoring? ☐ Yes ☐ No If Yes, Describe:
		1. Audit findings sustained? ☐ Yes ☐ No 2. Expected action of Subrecipient: ☐ Repay disallowed cost ☐ Make financial adjustments ☐ Other 3. Additional Monitoring? ☐ Yes ☐ No If Yes, Describe:

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