



Export Control Exclusion Screening

Principal Investigator (PI): Answer the following questions and return to the Sponsored Projects Administrator (SPA) working on your proposal/grant/contract/agreement.

Proposal Title:
Funding Agency/Institution:
Previous # (if continuation):

PI:
Proposal Number:
Est. Start Date:

Fundamental Research Exclusion	Yes	No	Unknown
Will the information be published and shared broadly in the scientific community?			
Are there any proprietary <i>or</i> U.S. government publication <i>or</i> access dissemination restrictions in the contract?			
Are there any restrictions on foreign national participation <i>or</i> requirements for U.S. citizens only in the contract?			
Will there be any foreign nationals and/or persons holding dual citizenship involved with the project? <i>* Provide the name and nationality of each individual if known or when available.</i>			
Is any of the project equipment export controlled? (If new, describe in "Additional Information" Section)			
Is any portion of the project being conducted at a site other than NMT? (SubAwardees, Collaborators, Consultants, Other [select one]). <i>* If "Yes," Where?</i>			
Will items and/or materials be shipped outside the United States? <i>*If "Yes," What? Where? and To Whom?</i>			
Is travel outside the US anticipated? <i>* If "Yes," Where?</i>			
Educational Information Exclusion			
Is the information commonly taught at schools and universities? (Please see Export Control Exclusion Screening Tip Sheet for more information.)			
Are courses about this information listed in published course catalogs?			
Other Terms Mentioned or Discussed Within the Project documentation			
Encryption Software? <i>* If yes, fill out the "Checklist for Encryption Software..." form from the Office of Research - Compliance office</i>			

Select Agents? <i>*If "Yes," What is it?</i>			
Trade_Secrets?			
Sanctioned or Embargoed countries? <i>*If "Yes," Name?</i>			
ITAR (International Traffic in Arms Regulation) or Munitions List? <i>*If yes</i>			
EAR (Export Administration Regulations) or Export Control? <i>*If "Yes," #</i>			

*****IMPORTANT NOTICE TO PI*****

Consequence of Non-Compliance

Failure to comply with US export control laws can result in severe penalties *to the individual* that can include the following: Civil penalties up to \$500,000 for each violation; Criminal penalties can be applied up to \$1,000,000 each violation; and/or Imprisonment for up to 10 years.

PI Signature:

Date:

Print PI Name: Phone/Email:

Received By (Pre Award SPA):

Date:

For Internal Office Use Only:

Reviewer (Print):

Reviewer signature:

Date:

***ADDITIONAL INFORMATION**
